

MIMO Receiver:

Zero Forcing (ZF) Receiver

$$\bar{y} = H \bar{x} + \bar{w}$$

Diagram illustrating the dimensions of the vectors in the equation:

- $\bar{y}$ is $r \times 1$ (indicated by an orange arrow).
- H is $r \times t$ (indicated by a green arrow).
- $\bar{x}$ is $t \times 1$ (indicated by a green arrow).
- $\bar{w}$ is $r \times 1$ (indicated by a green arrow).

How to recover
Transmit vector $\bar{x}$ at
the receiver.

Simple Scenario:

$r = t$
No. of Rx antennas = No. of Tx antennas.

$$H \begin{matrix} r \times t \\ t \times t \end{matrix}$$

→ Square Matrix
if $r = t$

IF H is invertible,

$$\bar{y} \approx H \bar{x}$$

← System
of Linear
Equations.

$$\hat{x} = H^{-1} \bar{y}$$

Simple
Receiver

If $r > t$
ie Number of Rx antennas $>$ Number of Transmit antennas.

Then H is NOT a square matrix

$\Rightarrow$ inverse of H does not exist.

$$\bar{y} = H \bar{x}$$

$r \times t$

There are r equations
 t unknown quantities.

$$r > t$$

$\Rightarrow$ Number of Equations $>$ Number of unknowns.

$$\bar{e} = \bar{y} - H \bar{x}$$

To minimize error,

$$\min \|\bar{e}\|^2 = \min \|\bar{y} - H\bar{x}\|^2$$

Find $\bar{x}$ such that $\|\bar{y} - H\bar{x}\|^2$ is minimized.

Least Squares Problem
(LS Problem)

To find minimum, differentiate
and set equal to zero.

However, $\bar{x}$ is a vector
↑ $t \times 1$ Transmit vector

→ Therefore we have to
differentiate wrto vector $\bar{x}$

↑
Vector Derivative.

$F(\bar{x})$
↓
function of
vector $\bar{x}$

$$\frac{\partial F}{\partial \bar{x}} = \begin{bmatrix} \frac{\partial F}{\partial x_1} \\ \frac{\partial F}{\partial x_2} \\ \vdots \\ \frac{\partial F}{\partial x_t} \end{bmatrix}$$

Differentiate F
wrt to every element
of $\bar{x}$.

For Example:

$$\begin{aligned} \bar{C}^T \bar{x} &= [C_1 \ C_2 \ \dots \ C_t] \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_t \end{bmatrix} \\ &= C_1 x_1 + C_2 x_2 + \dots + C_t x_t \end{aligned}$$

$$F(\bar{x}) = \bar{c}^T \bar{x}$$

$$\bar{c}^T \bar{x} = c_1 x_1 + c_2 x_2 + \dots + c_t x_t$$

$$\frac{\partial(\bar{c}^T \bar{x})}{\partial x_1} = c_1$$

$$\frac{\partial(\bar{c}^T \bar{x})}{\partial x_2} = c_2$$

$$\frac{\partial(\bar{c}^T \bar{x})}{\partial x_t} = c_t$$

$$\frac{\partial(\bar{c}^T \bar{x})}{\partial \bar{x}} = \begin{bmatrix} c_1 \\ c_2 \\ \vdots \\ c_t \end{bmatrix} = \bar{c}$$

$$\bar{c}^T \bar{x} = \bar{x}^T \bar{c}$$

$$\frac{\partial(\bar{c}^T \bar{x})}{\partial \bar{x}} = \frac{\partial(\bar{x}^T \bar{c})}{\partial \bar{x}} = \bar{c}$$

$$f(\bar{x}) = \bar{x}^T P \bar{x} \quad \leftarrow \text{where } P = P^T$$

$$\frac{\partial(\bar{x}^T P \bar{x})}{\partial \bar{x}} = \frac{\partial(\bar{x}^T \underbrace{P \bar{x}}_{\bar{c}})}{\partial \bar{x}} + \frac{\partial(\underbrace{\bar{x}^T P}_{\bar{c}^T} \bar{x})}{\partial \bar{x}}$$

$$= P \bar{x} + (\bar{x}^T P)^T$$

$$= P \bar{x} + P^T \bar{x} = 2P \bar{x}$$

$$\frac{\partial (\bar{x}^T P \bar{x})}{\partial \bar{x}} = 2P\bar{x}$$

LS cost function

$$F(\bar{x}) = \|\bar{y} - H\bar{x}\|^2$$

$$= (\bar{y} - H\bar{x})^T (\bar{y} - H\bar{x})$$

$$= (\bar{y}^T - \bar{x}^T H^T) (\bar{y} - H\bar{x})$$

$$= \bar{y}^T \bar{y} - \bar{x}^T H^T \bar{y} - \bar{y}^T H \bar{x} + \bar{x}^T H^T H \bar{x}$$

$$= \bar{y}^T \bar{y} - 2\bar{x}^T H^T \bar{y} + \bar{x}^T H^T H \bar{x}$$

$$\|\bar{y} - H\bar{x}\|^2 = F(\bar{x}).$$

$$= \bar{y}^T \bar{y} - 2\bar{x}^T H^T \bar{y} + \bar{x}^T H^T H \bar{x}$$

$$\frac{\partial F(\bar{x})}{\partial \bar{x}} = 0 - 2H^T \bar{y} + 2H^T H \bar{x} = 0$$

$$\begin{aligned} 2H^T \bar{y} &= H^T H \bar{x} \\ \hat{x} &= (H^T H)^{-1} H^T \bar{y} \end{aligned}$$

$$\hat{x} = (H^T H)^+ H^T \bar{y}$$

Zero Forcing Receiver

If H is complex

$$\hat{x} = (H^H H)^+ H^H \bar{y}$$

MIMO Zero Forcing (ZF) Receiver

$$\begin{matrix} (H^H & H) \\ \downarrow & \downarrow \\ t \times r & r \times t \end{matrix}$$

$H^H H$ is $t \times t$

→ Square matrix

$$(H^H H)^{-1} H^H$$

Pseudo inverse of H .

$$\underbrace{(H^H H)^{-1}} \quad \underbrace{H^H \cdot H}$$

$$= I$$


 acting as left inverse of H .
 Pseudo inverse.